

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

Adjustment date: 01/18/2005 AGOITOM  
12/01/2002 EFSPROD 00000018 500801 10707240  
01 FC:2001 385.00 CR

01/18/2005 AGOITOM 00000039 503105 10707240  
01 FC:1001 770.00 CR

Adjustment date: 05/12/2005 SDIRETA1  
01/18/2005 AGOITOM 00000039 503105 10707240  
01 FC:1001 770.00 CR

05/12/2005 SDIRETA1 00000051 500801 10707240  
01 FC:2001 385.00 DA

PTO-1556  
(5/87)



**North America  
Intellectual Property corporation**

**P.O. BOX 506, Merrifield, VA 22116, U.S.A.**

**Voice Mail: 302-729-1562 FAX: 806-498-6673 e-mail: winstonhsu@naipo.com**

**FAX TO : Office of Finance**

**Refund Branch**

**Tel : (703) 305-4229**

**Fax: (703) 308-6778**

**FROM : Winston Hsu, PATENT AGENT, REG NO. : 41,526**

**SERIAL NO. : 10/707,240**

**SUBJECT: REQUEST FOR A REFUND**

**TOTAL PAGES : 8 PAGES (INCLUDING COVER PAGE)**

**Winston Hsu**

**MAR 18 2005**

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PTO/SB/21 (09-04)

Approved for use through 07/31/2008, OMB 0851-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/707,240	
	Filing Date	12/01/2003	
	First Named Inventor	Jung-Tang Huang	
	Art Unit	3742	
	Examiner Name	PATEL, VINOD D	
Total Number of Pages in This Submission	7	Attorney Docket Number	UTEP0008USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of the fee transmittal form 2. A copy of the Deposit Account Statement
Remarks Request for a refund of incorrect deduction pursuant to 37 CFR 1.26		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corp.		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	MAR 18 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Jung-Tang Huang  
Examiner: PATEL, VINOD D  
Filing Date: 12/1/2003 Art Unit: 3742  
App. No.: 10/707,240 Docket No.: UTEP0008USA

10 Title: MICRO-HEATING APPARATUS FOR LOCALLY CONTROLLING  
THE TEMPERATURE IN A MOLD

To: Commissioner for Patents  
P.O. Box 1450  
15 Alexandria VA 22313-1450

Subject: Request for a refund of incorrect deduction  
pursuant to 37 CFR 1.26

20 Dear sir,

The above-identified application was filed as small entity  
on 12/1/2003. The undersigned agent authorized the USPTO to  
charge the basic filing fee \$385 from the Deposit Account No.  
25 50-0801 (Attachment 1). Recently the undersigned agent found  
that the small entity basic filing fee \$ 385.00 was refunded  
to the Deposit Account No. 50-0801 and \$ 770.00 was charged  
from the Deposit Account No. 50-3105 on 1/18/2005 (Attachment  
2).

30

The deduction of \$770.00 from the Deposit Account No.  
50-3105 was a mistake, because the assignee was small entity

till the Notification of loss of entitlement to small entity status was sent to USPTO on 8/16/2004. Please refund \$770.00 to the Deposit Account No. 50-3105 pursuant to 37 CFR 1.26 and deduct \$385.00 from the Deposit Account No. 50-0801. Your

5 quick response will be greatly appreciated.

Sincerely yours,

10 Winston Hsu

Date: MAR 18 2005

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

15 e-mail : winstonhsu@naipo.com

(Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 13 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan).

20

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**FEE TRANSMITTAL**

Electronic Version v08

Stylesheet Version v08.0

**Title of  
Invention****MICRO-HEATING APPARATUS FOR LOCALLY  
CONTROLLING THE TEMPERATURE IN A MOLD**

Application Number:

Date:

First Named Applicant: Jung-Tang Huang

Attorney Docket Number: UTEP0008USA

**TOTAL FEE AUTHORIZED \$425**

Patent fees are subject to annual revisions on or about October 1st of each year.

Filing as small entity

**BASIC FILING FEE**

Fee Description	Fee Code	Amount \$	Fee Paid \$
Utility Filing Fee	2001	385	385
Subtotal For Basic Filing Fee: \$385			

**EXTRA CLAIM FEES**

Fee Description	Extra Claims	Fee Code	Amount \$	Fee Paid \$
Total Claims: 6	0	2202	9	0
Independent Claims: 2	0	2201	43	0
Subtotal For Extra Claims Fees: \$ 0				

**ASSIGNMENT FEES**

Fee Description	Property Number	Quantity	Fee Code	Amount \$	Fee Paid \$
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Recording Each Patent	00000000	1	8021	40	40
Assignment Per Property Fee					
Subtotal For Additional Fees: \$40					

**AUTHORIZED BILLING INFORMATION**  
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit account number: 500801

Access Code: \*\*\*\*

Deposit name: NORTH AMERICA INTERNATIONAL PATENT OFFICE

Deposit authorized name: WINSTON HSU

Signature: VAEB-JMXX-8IIL

Date (YYYYMMDD): 2003-11-28

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

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Trademark Office**

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**Deposit Account Statement**

**Requested Statement Month:** January 2005  
**Deposit Account Number:** 500801  
**Name:** NAIPO  
**Attention:** WINSTON HSU  
**Address:** P.O. BOX 506  
**City:** MERRIFIELD  
**State:** VA  
**Zip:** 22116-0506

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/10	15	10605614	REAP0011USA	1201	-\$86.00	\$6,047.92
01/18	82	10707240	UTEP0008USA	2001	-\$385.00	\$6,432.92
01/18	83	10707345	TOPP0028USA	1001	-\$770.00	\$7,202.92
01/18	84	10707345	TOPP0028USA	1001	\$770.00	\$6,432.92
01/24	6	09881029		1501	-\$1,330.00	\$7,762.92
01/24	7	09881029		2501	\$665.00	\$7,097.92
01/31	1	10249747	ACMP0101USA	1251	-\$110.00	\$7,207.92

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$5,961.92	\$1,435.00	\$2,681.00	\$7,207.92

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18-03-'05 14:05

從-

WINSTON HSU, NO. 41526

8064986673

T-952 P08/08 U-989

Deposit Account Statement

2005/3/16

01/13 4	60593104	IFTP0022USA	2005	\$100.00	\$16,514.00
01/13 32	10905109	REAP0114USA	1001	-\$780.00	\$17,304.00
01/13 33	10905109	REAP0114USA	1011	\$300.00	\$17,004.00
01/13 34	10905109	REAP0114USA	1111	\$500.00	\$16,504.00
01/13 35	10905109	REAP0114USA	1311	\$200.00	\$16,304.00
01/13 39	60593095	MSTP0016USA	2005	-\$80.00	\$16,384.00
01/13 40	10905110	JEMP0004USA	2001	-\$395.00	\$16,779.00
01/13 40	60593095	MSTP0016USA	2005	\$100.00	\$16,679.00
01/13 41	10905110	JEMP0004USA	4011	\$75.00	\$16,604.00
01/13 42	10905110	JEMP0004USA	2111	\$250.00	\$16,354.00
01/13 43	10905110	JEMP0004USA	2311	\$100.00	\$16,254.00
01/13 62	60593425	IFTP0024USA	2005	\$100.00	\$16,154.00
01/13 63	60593425	IFTP0024USA	8021	\$40.00	\$16,114.00
01/14 27	PAYMENT		9203	-\$8,050.00	\$24,164.00
01/18 93	10707240	UTEP0008USA	1001	\$770.00	\$23,394.00
01/18 111	10905679	UTEP0004USA	1011	\$300.00	\$23,094.00
01/18 112	10905679	UTEP0004USA	8021	\$40.00	\$23,054.00
01/18 113	10905679	UTEP0004USA	1311	\$200.00	\$22,854.00
01/18 114	10905679	UTEP0004USA	1111	\$500.00	\$22,354.00
01/18 116	6266164	PMXP0040USA	1551	\$900.00	\$21,454.00
01/18 117	6268938	MSIP0003USA	1551	\$900.00	\$20,554.00
01/18 140	10904287	MTKP0189USA	8007	\$40.00	\$20,514.00
01/18 141	10904775	MTKP0220USA	8007	\$40.00	\$20,474.00
01/18 157	10905690	MTKP0136USA	1011	\$300.00	\$20,174.00
01/18 158	10905690	MTKP0136USA	8021	\$40.00	\$20,134.00
01/18 159	10905690	MTKP0136USA	1311	\$200.00	\$19,934.00
01/18 160	10905690	MTKP0136USA	1111	\$500.00	\$19,434.00
01/18 164	10905692	AEVP0003USA	4011	\$75.00	\$19,359.00
01/18 165	10905692	AEVP0003USA	2202	\$325.00	\$19,034.00
01/18 166	10905692	AEVP0003USA	2201	\$200.00	\$18,834.00
01/18 167	10905692	AEVP0003USA	8021	\$40.00	\$18,794.00
01/18 168	10905692	AEVP0003USA	2311	\$100.00	\$18,694.00
01/18 169	10905692	AEVP0003USA	2111	\$250.00	\$18,444.00
01/18 170	10905693	CPTP0003USA	1011	\$300.00	\$18,144.00
01/18 171	10905693	CPTP0003USA	8021	\$40.00	\$18,104.00
01/18 172	10905693	CPTP0003USA	1311	\$200.00	\$17,904.00
01/18 173	10905693	CPTP0003USA	1111	\$500.00	\$17,404.00
01/18 177	10905695	CHEP0045USA	4011	\$75.00	\$17,329.00
01/18 178	10905695	CHEP0045USA	8021	\$40.00	\$17,289.00
01/18 179	10905695	CHEP0045USA	2311	\$100.00	\$17,189.00
01/18 180	10905695	CHEP0045USA	2111	\$250.00	\$16,939.00
01/18 187	10905697	KYCP0019USA	1011	\$300.00	\$16,639.00
01/18 188	10905697	KYCP0019USA	1202	\$250.00	\$16,389.00
01/18 189	10905697	KYCP0019USA	8021	\$40.00	\$16,349.00
01/18 190	10905697	KYCP0019USA	1311	\$200.00	\$16,149.00
01/18 191	10905697	KYCP0019USA	1111	\$500.00	\$15,649.00
01/18 197	10905698	PMXP0191USA	1011	\$300.00	\$15,349.00
01/18 198	10905699	PMXP0191USA	1202	\$1,650.00	\$13,699.00
01/18 199	10905699	PMXP0191USA	8021	\$40.00	\$13,659.00
01/18 200	10905699	PMXP0191USA	1311	\$200.00	\$13,459.00
01/18 201	10905699	PMXP0191USA	1111	\$500.00	\$12,959.00
01/19 8	10605428	MTKP010101USA	1251	\$120.00	\$12,839.00
01/19 39	10905725	PIIP0017USA	4011	\$75.00	\$12,764.00
01/19 40	10905725	PIIP0017USA	8021	\$40.00	\$12,724.00

Deposit Account No.

20-3105

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